Dear Premier Customer,

## Re: Professional Investor Account (corporation)

We thank you for your interest in opening an account(s) with us.

Enclosed please find our Treatment as Professional Investor for your execution and thereafter returning to us for your confirming your acceptance of being treated as professional investor.

To open account with us, could you please forward to us original or certified true copies of the following documents:-

- (1) Certificate of Incorporation;
- (2) Memorandum and Articles of Association;
- (3) Local Business registration certificate (if any);
- (4) Principal Business Licence/Exemption Certificate (e.g. licence granted by the regulators) (if any);
- (5) Latest Register of Directors & Shareholders/Annual Return/Registered Agent's Certificate/Certificate of Incumbency;
- (6) Passport or identity documents of the authorized persons;
- (7) Account Opening Information Form Professional Investor;
- (8) Board Minutes or resolution(s) approving (a) the opening of the account; (b) execution of the Treatment as Professional Investor; and (c) list of authorized persons, specimen signatures and signing instructions;
- (9) W8-Ben-E/W-8IMY Form (if applicable); and
- (10) Latest audited financial statements/management accounts or annual report/Custodian Statement (within 12 months) showing a total portfolio of not less than USD1,000,000 or a total asset of USD5,000,000.

Once we have received the above documents and the signed Treatment as Professional Investor, we will process your application for account opening and inform you of the result in due course.

A copy of our standard client agreement is enclosed herewith. While all our future transactions will be effected upon and subject to the terms and conditions of the client agreement, you as a professional investor, however, need not complete or execute it. You are deemed to have accepted the terms and conditions of the client agreement and any subsequent amendments thereto once we have effected a transaction for you. Therefore, you are advised to seek independent legal advice regarding the content of the client agreement if you deem necessary.

Should you have any questions regarding the account opening or want to enquire as to the progress, please do not hesitate to contact your account officer immediately.

We look forward to providing you with our quality services in the future.

Yours faithfully, For and on behalf of CTBC Asia Limited

This is a computer printout requiring no signature. Encl.