



## Virtual Assets (“VA”) Knowledge Test

### 虛擬資產知識測試

Account Name 帳戶名稱:	Account Number 帳戶號碼:
<p>Please tick the most appropriate answer. 請別選最適當答案。</p> <p><b>1. Which of the following statement about cryptocurrency and blockchain is correct?</b> <b>以下哪項有關加密貨幣及區塊鏈的描述是正確的?</b></p> <p><input type="checkbox"/> A. Cryptocurrency and blockchain are the same thing. They are interchangeable terms that have the same meaning. 加密貨幣和區塊鏈之間沒有分別，詞彙可以互換，意思一樣。</p> <p><input type="checkbox"/> B. Cryptocurrency and blockchain are virtual assets. 加密貨幣和區塊鏈均屬虛擬資產。</p> <p><input type="checkbox"/> C. Cryptocurrency is operated under blockchain technology to verify and record transactions. 加密貨幣以區塊鏈技術核實及記錄交易。</p> <p><input type="checkbox"/> D. Blockchain is the emerging technology for financial industry only. It is peer-to-peer digital ledger that records the transactions of cryptocurrency. 區塊鏈是僅應用於金融產業的新興技術，是點對點網絡賬本，記錄加密貨幣的交易。</p> <p><b>2. Once transactions are recorded in the blockchain, can they be changed?</b> <b>一旦記錄標記在區塊鏈上，這些記錄可否被他人更改？</b></p> <p><input type="checkbox"/> A. Yes, only by the owner of the blockchain. 可以，只能由區塊鏈主人更改。</p> <p><input type="checkbox"/> B. Yes, but only within a certain time frame. 可以，但僅限於特定時間範圍內。</p> <p><input type="checkbox"/> C. Yes, all the people involved in the blockchain can alter. 可以，所有與該區塊鏈有關的人士都可更改。</p> <p><input type="checkbox"/> D. Normally not, it is tamper-proof. 一般情況下不可以，記錄是防篡改的。</p> <p><b>3. What makes cryptocurrency trading secure?</b> <b>以下什麼可讓加密貨幣交易安全？</b></p> <p><input type="checkbox"/> A. Servers 伺服器</p> <p><input type="checkbox"/> B. Cryptography 密碼學</p> <p><input type="checkbox"/> C. Central Bank 中央銀行</p> <p><input type="checkbox"/> D. Investor Compensation Fund (ICF)投資者賠償基金</p> <p><b>4. Is Bitcoin transaction anonymous and untraceable?</b> <b>比特幣交易是否匿名，無法追溯？</b></p> <p><input type="checkbox"/> A. Yes 是</p> <p><input type="checkbox"/> B. No 否</p> <p><b>5. 冷錢包是什麼？</b></p> <p><input type="checkbox"/> A. Crypto trading platform 虛擬貨幣交易平台</p> <p><input type="checkbox"/> B. Holding crypto offline 離線錢包</p> <p><input type="checkbox"/> C. Holding crypto online 線上錢包</p> <p><input type="checkbox"/> D. Mining crypto 挖礦</p> <p><b>6. Which of the following statement about 51% attack is correct?</b> <b>以下哪項有關 51% 攻擊的描述是正確的？</b></p> <p>(1) 51% attack is a potential attack on a blockchain network, where the attacker is able to control the majority of the hash rate and have enough mining power to intentionally exclude or modify the ordering of transactions. <span style="float: right;">51% 攻擊指對區塊鏈網絡的潛在攻擊，攻擊者能夠控制大多數雜湊率，有足夠的挖礦能力來有意排除或修改交易的順序。</span></p> <p>(2) The bigger the blockchain network, the higher possibility of a 51% attack. 區塊鏈網絡越大，出現 51% 攻擊的可能性越高。</p> <p>(3) The bigger the blockchain network, the lower possibility of a 51% attack. 區塊鏈網絡越大，出現 51% 攻擊的可能性越低。</p> <p><input type="checkbox"/> A. Only (1) 只有(1)</p> <p><input type="checkbox"/> B. Only (3) 只有(3)</p> <p><input type="checkbox"/> C. (1)&amp;(2)</p> <p><input type="checkbox"/> D. (1)&amp;(3)</p>	



**7. The features of smart contracts do not include:**

**智能合約的特性並不包括：**

- ☐ A. Alterability 可變性
- ☐ B. Transparency 透明
- ☐ C. Trustlessness 去信任化
- ☐ D. Autonomy 自主性

**8. Which of the following will not affect the price of virtual asset?**

以下哪項不會影響虛擬資產的價格？

- ☐ A. Governance of central bank 中央銀行的監管
- ☐ B. Development of regulations in virtual asset 監管規範虛擬資產的進展
- ☐ C. Investor sentiment 投資者情緒 Investor sentiment
- ☐ D. Demand and supply 供應及需求 Demand and supply
- ☐ E. All of the above 以上皆是

**9. Is buying or holding virtual asset futures ETF equivalent to buying or holding virtual assets outright?**

購買或持有虛擬資產期貨 ETF 是否等同於直接購買或持有虛擬資產？

- ☐ A. Yes 是
- ☐ B. No 否
- ☐ C. Uncertain 不確定

**10. For the risks of virtual asset futures contracts, please choose the most appropriate description of the following.**

關於虛擬資產期貨合約的風險，請選出下列最適當的描述。

- ☐ A. Liquidity risk 流動性風險
- ☐ B. Risk of pricing difference between the futures price of virtual assets and the current spot price of virtual assets  
虛擬資產期貨價格與虛擬資產當前現貨價格的定價差異風險
- ☐ C. Amplified risks and potential losses 放大的風險及潛在的損失
- ☐ D. All of the above 以上皆是

I hereby confirm that I have finished the Virtual Assets Knowledge and Experience Questionnaire and Test on my own, and understood that I may be required to finish training on virtual assets upon the Company's demand before trading virtual assets.

**本人確認親自完成虛擬資產知識及經驗問卷及測試，並明白如有需要將按貴公司要求完成有關虛擬資產的培訓，方可作有關虛擬資產的交易。**

Signature of Customer:

客戶簽署：

Date:

日期：

**Assessment Result(after taking into account the above and the individual circumstances of the Corporate Customer):**

**評估結果(已考慮上述及客戶的個別因素)**

Does the Client answer at least 7 questions in "Part IV – Virtual Asset Knowledge Questionnaire" correctly?

客戶是否正確回答了「第四部分－虛擬資產知識問卷」中至少 7 個問題？

- ☐ Yes - Client's Assessment Result: Passed
- ☐ No - Client's Assessment Result: Failed
- ☐ 是 - 客戶評估結果：合格
- ☐ 否 - 客戶評估結果：不合格

Virtual Assets ("VA") Knowledge and Experience: ☐ with knowledge of Virtual Assets ☐ without knowledge of Virtual Assets

虛擬資產知識及經驗: ☐ 對虛擬資產有認識 ☐ 對虛擬資產沒有認識

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文件於\_\_\_\_\_（日期）\_\_\_\_\_（時間）\_\_\_\_\_（地點）或以電話錄音（內線:\_\_\_\_\_）完成。

錄音人員：