

**DECLARATION BY ELDER CUSTOMER (AGED 65 YEARS OR OVER)
FOR TRADING OF SECURITIES PRODUCTS**

Name of Customer: _____

Age of the Customer: _____

Customer Declaration and Acceptance of Risk

To : CTBC Asia Limited

I, (name of customer) _____ hereby declare, accept and confirm as follows:

I am your company's customer and would like to trade the Securities Product(s). Prior to entering into the transaction of the Securities Product(s), the company has already clearly explained and disclosed to me the nature, features, structure, fees and charges (including penalty charges on early redemption, if applicable), and the relevant underlying risks of the Securities Product(s) and I accept and understand the same. I also understand that based on your company's "Protection to Investors' Interests" principle, if an investor at the time of purchasing the Securities Product(s) is aged 65 years or over, your company may decline to effect such instructions.

I have considered the relevant risks thoroughly including the relationship between my age and the product tenor of the Securities Product(s). I also fully understand the relevant underlying risks of the Securities Product(s), including but not limited to liquidity risk, which means the Securities Product(s) does not have full market liquidity; no guarantee on the completion of early redemption instructions; there may have prominent price difference between the actual transaction value and the unit asset value of the Securities Product(s) at the time of redemption; it may cause a substantial loss to the initial investment capital and extra financial loss if the investor redeems before maturity. On the other hand, I was reminded that making a hasty investment decision on the Securities Product(s) should be avoided and I should seek independent professional advice before trading in the Securities Product(s).

I hereby declare that I understand, accept and agree to bear all the risks of the Securities Products and instruct your company to effect my purchase instructions. If I suffered from any loss arising from the Securities Product(s), I would fully agree to bear such loss by myself and will not hold your company liable.

I understand that my age is over 65 at the time of trading the Securities Product(s), the above matters will be independently checked and confirmed by a staff of your company from the back office.

Signature of the customer : _____ Date : _____

Printed name : _____

*ID Card / Passport Number : _____

Signature of the witness : _____

Printed name : _____

*ID Card / Passport Number : _____

Relationship with the customer : _____

Signature of sales person (1) : _____

Printed name : _____ Date : _____

HKMA/SFC Registration number of sales person (1) : _____

Signature of sales person (2) : _____

Printed name : _____ Date : _____

HKMA/SFC Registration number of sales person (2) : _____

[* please delete the item not applicable]

Endorsement of the Supervisor

I, (name of the supervisor) _____ hereby endorse and approve the customer's purchase of the Securities Product(s).

Signature of the Supervisor : _____ Date : _____

Printed Name: _____

Independent check

I, (name of the staff in back office) _____ hereby confirm that I have discussed with the customer _____ (name of customer) his/her proposed purchase of the Securities Product(s) and his/her Declaration by vulnerable customer for the purchase of the Securities Products (the "Declaration") on _____ (dd/mm/yy) at _____ (time xx:xx). I confirm that the customer understands the underlying risks of the Securities Product(s) and confirms the contents of the Declaration as correct.

Signature of staff in back office : _____ Date: _____

Printed Name : _____