

敬愛的客戶,

現時，全球證券商每月發出紙本帳戶相關通知及月結單耗量驚人，若減少當中用紙，將有助延長自然資源壽命。為此 中國信託綜合證券(香港)有限公司(“本公司”) 將推行電子通知服務，減少耗紙。透過這項服務，每年可望減省大量紙張消耗，對重建綠色地球，減慢氣候暖化將大有幫助。本公司現已提供電子化結單服務，如您同意使用電子通知服務，請簽回回條(同意書)交回本公司以啟用此服務。

Reducing the countless paper notifications and account statements that are mailed out from securities broker all over the world should be the crucial first step in conserving our natural resources. CTBC Asia Ltd commences to provide electronic notification (“e-Notification”) service to help cutting down on paper usage. Through this service, we can save a large number of paper usage a year. We believe it takes us in the right direction towards a greener future and a world with less global warming

From now on, CTBC Asia Ltd provides e-Notification service. If you agree to use the e-statement service, please sign the return slip (consent letter) to us to start up the service.

如您同意附件電子通知服務條款及細則的內容，請把簽署後的回條同意書以電郵至 operation@ctbcasia.com.hk、傳真至 (852) 2234 7667 或以郵寄方式交回給本公司。

If you agree with the Terms and Conditions for e-Notification (attached below), please return the signed consent letter by email at operation@ctbcasia.com.hk or, by fax to (852) 2234 7667 or by mail return to us.

如有任何疑問，或您尚未留存電郵信箱或有任何變更，請致電 (852) 2916 1742 或聯絡您的營業代表。

If you have any queries or you have not provided your email address to us or there is any change of your profile, please feel free to contact us at (852) 2916 1742 or your Sales Representative.

敬頌 商祺

Yours faithfully,

CTBC Asia Limited

中國信託綜合證券(香港)有限公司

地址：28/F, Two International Finance Centre, 8 Finance Street Central, Hong Kong

同意書：

Consent Letter

本人/本公司_____客戶號碼()明白並同意以下中國信託綜合證券(香港)有限公司提供的電子通知服務條款及細則的內容,並選用電子結單服務.

客戶或授權人簽署: _____

日期: _____

I/We _____ (customer number: _____) understand and agree to abide by the below “Terms and Conditions for e-Notification” and agree to use the e-Notification service provided by CTBC Asia Ltd.

Signature: _____

Date: _____

電子通知服務條款及細則:

1. 本人若啟用中國信託綜合證券(香港)有限公司("貴公司)透過電訊設備傳送電子通知(包括日報表、月結單及其他不時之通知)之服務("電子通知服務"),除本條款及細則另有規定外,本人將不會收到每月紙本通知。本人同意遵守任何及所有現時或此後制定、頒佈或執行並適用於電子通知服務的法律、法規、規定及官方指引,以及貴公司不時制訂、修改並向本人提供,藉以規管有關電子通知服務使用其他設施、優惠或服務的條款及條件。本人並同意支付貴公司所規定有關使用電子通知服務的任何費用。
2. 貴公司可不時酌情決定修改、限制、撤銷、取消、暫停或中止電子通知服務,而毋須給予任何理由或事前通知。
3. 本人明白到電子通知服務須要求持本人獲得適當的互聯網及電訊服務及具有適當的設備,本人應保持使用電子通知服務的設備穩妥可靠。本人須採取一切合理的防範措施以防任何第三者接觸到任何機密資料。
4. 本人同意貴公司以合理努力,確保電子通知服務的安全性及確保未獲授權的第三方不能進入使用。但是本人確認貴公司對於電子通知服務通過在任何司法管轄區內任何適用的互聯網服務供應商、網絡系統或其他同類型系統所傳送的任何資料的保安、保密或機密事宜,並不作出保證。本人明白並接受所有使用電子通知服務可能涉及的風險,包括(但不限於)電子通知服務在未經本人授權的情況下被截斷、監視、修改、竄改或被送遞或披露予其他方。
5. 本人同意貴公司毋須就貴公司的數據、軟件、電腦、電訊設備或其他設備因本人使用電子通知服務所導致的(包括但不限於)任何損失、損害或支出而承擔任何責任,除非純粹直接因貴公司重大疏忽或蓄意錯失所致,則作別論。
6. 本人同意,若貴公司成功透過電子通知服務遞送至本人指定的電郵地址、手機門號或其他設備,應視已將該通知遞送本人。若貴公司未能將電子通知經由電子通知服務遞送至本人指定的電郵地址,或基於任何理由,貴公司可全權酌情決定將任何通知郵寄往本人最新登記的地址或採取適合的方式發送予本人。
7. 基於使用電子通知服務,本人承諾向貴公司提供其最新及正確的電郵地址。
8. 若貴公司經合理嘗試下未能將與電子通知遞送給本人,貴公司可自動暫停或取消本人電子通知服務的使用(無須進一步通知)及將所印發的紙本通知書郵寄予本人,貴公司可向本人收取相關徵費(如有)。
9. 若本人擬取消電子通知服務的使用,本人須事前依貴公司之要求進行取消服務的申請。在貴公司收到本人的事前通知並確認後,恢復向本人印發紙本通知書,貴公司可向本人收取相關徵費(如有)。
10. 本人確認,任何本人透過電訊設備所收到的電子通知服務的任何資料,均只作本人(而非任何其他人士)的參考用途,不應將之作為與其有關事宜之不可推翻的證據。
11. 貴公司及貴公司為提供電子通知服務而指定之任何電訊公司,均不會為任何未能或延遲向本人傳送資料或資料中的任何錯誤或偏差而負上任何法律責任或責任,除非該責任由貴公司或該電訊公司引致的任何重大疏忽或蓄意錯失所造成。本人明白,貴公司及任何該電訊公司均不會為其合理控制範圍外任何原因所引致之後果(包括但不限於本人的電訊設備因任何原因未能接收資料、任何電訊故障、互聯網服務供應商失靈、電力故障、設備或裝置失靈、停頓、受到干擾或有所不足、天災、政府行為、內亂、罷工、戰爭、火災、水災或爆炸)負上任何法律責任或責任。

Terms and Conditions for e-Notification Service

1. When I agree to use the service provided by CTBC Asia Ltd. ("CTBC Asia") in which an electronic form of notification of my accounts(s), including daily reports, monthly account statement and other notifications from time to time will be sent and made available by electronic means ("e-Notification Service"), I will not receive notification in paper form, except otherwise provided herein. I agree to abide by any and all laws, rules, regulations and official issuances applicable to the e-Notification Service, now existing or which may hereinafter be enacted, issued or enforced, as well as such terms and conditions governing the use of the e-Notification Service CTBC Asia may from time to time stipulate, amend and make available to me in connection with e-Notification Service. I also agree to pay any fee associated with the use of e-Notification Service as CTBC Asia may prescribe from time to time.
2. CTBC Asia have the discretion from time to time to modify, restrict, withdraw, cancel, suspend or discontinue the e-Notification Service without giving any reason or prior notice.
3. I understand that e-Notification Services available to me provided that I have appropriate internet and telecommunication services and equipment. I shall keep such equipment used for e-Notification Service secure and stable, and shall prevent anyone else from accessing any confidential information.
4. I agree that CTBC Asia shall use reasonable effort to ensure that the e-Notification Service are secure and cannot be accessed by unauthorized third parties. However, I acknowledge that CTBC Asia do not warrant the security, secrecy or confidentiality of any information transmitted through any applicable internet service provider, network system or such other equivalent system in any jurisdiction via e-Notification Service. I confirm that I understand and accept all possible risks involved in using the e-Notification Service including, without limitation, e-Notification Service being accessed, intercepted, monitored, amended, tempered with or being sent or disclosed to other parties without my authorization.
5. I agree that CTBC Asia shall not be liable for any loss, damages or expenses that I shall incur, including without limitation, any loss or damage caused to my data, software, computer, telecommunications equipment or other equipment in connection with my use of e-Notification Service unless they are caused solely and directly by CTBC Asia's gross negligence or willful default.
6. I agree that the successful delivery of any electronic notification (if applicable) via e-Notification Service by CTBC Asia to my designated email address, mobile phone number or other device shall be deemed to be has been delivered to me. CTBC Asia may, at CTBC Asia's sole discretion, send any notification to my last registered mailing address or by other means as CTBC Asia think proper should CTBC Asia fail to send electronic notifications in connection with the e-Notification Service at my registered email address (or mobile phone number) or for any other reason.
7. I undertake to provide to CTBC Asia my updated and correct email address / mobile phone number in order to use e-Notification Service.
8. Upon reasonable attempt, failing to send electronic notification via e-Notification Service to me, e-Notification Service will be automatically suspended or cancelled without further notice and CTBC Asia will resume sending notification in paper form to me, with applicable charge of fees if any.
9. To cancel the usage of e-Notification Service, I shall make application for cancellation in advance according to CTBC Asia's requirement. Upon cancellation of e-Notification Service, CTBC Asia will resume sending notifications in paper form to me, with applicable charge of fees if any.
10. I acknowledge that any information received by me via my telecommunications equipment is for my (and not any other person's) reference only, and shall not be taken as conclusive evidence of the matters to which it relates.
11. Neither CTBC Asia nor any of the telecommunications companies designated by CTBC Asia will assume any liability or responsibility for any failure or delay in transmitting information to me or for any error or inaccuracy in such information unless it results from any gross negligence or willful default on the part of CTBC Asia or of such telecommunications company. In particular, I understand that neither CTBC Asia nor any such telecommunications company shall assume any liability or responsibility for consequences arising from any cause beyond its reasonable control including, without limitation, failure of my telecommunications equipment to receive information for whatever reason, any telecommunications breakdown, internet service provider failure, power failure, malfunction, breakdown, interruption or inadequacy of equipment or installation, act of God, government act, civil commotion, strike, war, fire, flood or explosion.